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Thereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Stephen C. D'Amico
Type or print name


Signature

June 11, 2002
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

CHEN ET AL.

APPLICATION NO: 09/993,180

FILED: NOVEMBER 14, 2001

FOR: POLYNUCLEOTIDE ENCODING A NOVEL HUMAN SERPIN
SECRETED FROM LYMPHOID CELLS LSI-01

RECEIVED

JUN 19 2002

TECH CENTER 1600/2900

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-3880.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,


Stephen C. D'Amico
Agent for Applicants
Reg. No. 46,652

Bristol-Myers Squibb Company
Patent Department
P.O. Box 4000
Princeton, NJ 08543-4000
(609) 252-5289

Date: June 11, 2002

#7
M01
7/9/02

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY. DOCKET NO.
D0051 NP
APPLICATION NO.
09/993,180
APPLICANT
CHEN ET AL.
FILING DATE
NOVEMBER 14, 2001

Group

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
AA						
AB						
AC						
AD			RECEIVED			
AE			JUN 19 2002			
AF						
AG			TECH CENTER 1600/2900			
AH						
AI						
AJ						
AK						
AL						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO
AM	WO0012708 ✓	3/9/00	PCT			<input type="checkbox"/>	<input type="checkbox"/>
AN	WO0078961 ✓	12/28/00	PCT			<input type="checkbox"/>	<input type="checkbox"/>
AO	WO0132874 ✓	5/10/01	PCT			<input type="checkbox"/>	<input type="checkbox"/>
AP	WO0166690 ✓	9/13/01	PCT			<input type="checkbox"/>	<input type="checkbox"/>
AQ	WO0168848 ✓	9/20/01	PCT			<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

AR	NCBI Entrez Accession No: gi 18583071 ✓
AS	
AT	

EXAMINER DATE CONSIDERED

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



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	DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLAS S	TRANSLATION YES <input type="checkbox"/> NO <input type="checkbox"/>
AA	WO0202603 ✓	1/10/02	PCT			<input type="checkbox"/> <input type="checkbox"/>
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AC						<input type="checkbox"/> <input type="checkbox"/>
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AF						<input type="checkbox"/> <input type="checkbox"/>
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AH						<input type="checkbox"/> <input type="checkbox"/>
AI			RECEIVED			<input type="checkbox"/> <input type="checkbox"/>
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AK			JUN 19 2002			<input type="checkbox"/> <input type="checkbox"/>
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AZ						<input type="checkbox"/> <input type="checkbox"/>

EXAMINER

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